

Transboundary Waters Systems – Status and Trends

Crosscutting Analysis

VOLUME 6: CROSSCUTTING ANALYSIS



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The Global Environment Facility (GEF) approved a Full Size Project (FSP), "A Transboundary Waters Assessment Programme: Aquifers, Lake/Reservoir Basins, River Basins, Large Marine Ecosystems, and Open Ocean to catalyze sound environmental management", in December 2012, following the completion of the Medium Size Project (MSP) "Development of the Methodology and Arrangements for the GEF Transboundary Waters Assessment Programme" in 2011. The TWAP FSP started in 2013, focusing on two major objectives: (1) to carry out the first global-scale assessment of transboundary water systems that will assist the GEF and other international organizations to improve the setting of priorities for funding; and (2) to formalise the partnership with key institutions to ensure that transboundary considerations are incorporated in regular assessment programmes to provide continuing insights on the status and trends of transboundary water systems.

The TWAP FSP was implemented by UNEP as Implementing Agency, UNEP's Division of Early Warning and Assessment (DEWA) as Executing Agency, and the following lead agencies for each of the water system categories: the International Hydrological Programme (IHP) of the United Nations Educational, Scientific and Cultural Organization (UNESCO) for transboundary aquifers including groundwater systems in small island developing states (SIDS); the International Lake Environment Committee Foundation (ILEC) for lake and reservoir basins; the UNEP-DHI Partnership – Centre on Water and Environment (UNEP-DHI) for river basins; and the Intergovernmental Oceanographic Commission (IOC) of UNESCO for large marine ecosystems (LMEs) and the open ocean.

The five water-category specific assessments cover 199 transboundary aquifers and groundwater systems in 43 small island developing states, 204 transboundary lakes and reservoirs, 286 transboundary river basins; 66 large marine ecosystems; and the open ocean, a total of 756 international water systems. The assessment results are organized into five technical reports and a sixth volume that provides a cross-category analysis of status and trends:

- Volume 1 Transboundary Aquifers and Groundwater Systems of Small Island Developing States: Status and Trends
- Volume 2 Transboundary Lakes and Reservoirs: Status and Trends
- Volume 3 Transboundary River Basins: Status and Trends
- Volume 4 Large Marine Ecosystems: Status and Trends
- Volume 5 The Open Ocean: Status and Trends
- Volume 6 Transboundary Water Systems: Crosscutt ng Status and Trends

A Summary for Policy Makers accompanies each volume.

Volume 6 presents a unique and first global overview of the contemporary risks that threaten international water systems in five transboundary water system categories, building on the detailed quantitative indicator-based assessment conducted for each water category. The report, along with the 12-volume annexes that make up the Global Compendium of Transboundary Waters, is a collaboration of the five independent water-category based TWAP Assessment Teams under the leadership of the Cross-cutting Analysis Working Group, with support from the TWAP Project Coordinating Unit.



This novel assessment provides a first indicator-based look at the broad global patterns of risk to transboundary water systems and dependent human populations across the five water system categories – Aquifers, Lakes & Reservoirs, River Basins, Large Marine Ecosystems (LMEs) and the Open Ocean. Key directional indicators (indicating good to bad ecosystem states), addressing thematic (i.e. biophysical, socioeconomic and governance) conditions of transboundary waters, are chosen from each of the five water system category-based assessments, and are used to show broad patterns of relative risk. Risk is defined as the likelihood of failure to sustain the ecosystem services that transboundary waters provide for planetary and human wellbeing. It is assessed at five color-coded risk levels from highest risk (red) to lowest risk (blue) using individual indicators and their averages. The results are geographically organized to allow for comparisons of risk across water system categories and themes in 14 regions of the world. The 14 regions are based on the UN sub-continental regions, with the smaller areas like Northern, Southern and Western Europe being grouped together as a region, to optimize the regional aggregation and comparison of transboundary water systems. The Transboundary Waters Assessment Programme (TWAP) crosscutting analysis covers 89% of the global total of currently identified transboundary aquifer surface area and 87% of transboundary lake surface area for 204 lakes considered in the assessment. For transboundary river basins, LMEs and the Open Ocean, spatial coverage is 100%.

- Risk is generally lower in developed regions (Australia, North America and Europe) and higher in Sub-Saharan Africa and South and South East Asia. However, there are high and low risk systems in all regions, indicating the need for attention to transboundary water systems across the planet.
- Risk appears spread across the three thematic areas biophysical, socioeconomic, governance and signifies the need for policy and management interventions to address the integrated nature of risk in order to effectively sustain ecosystem health and human wellbeing.
- There is a tendency for risk to increase 'downstream' from aguifers and river basins to LMEs, with the exception of transboundary governance arrangements for aquifers, which are largely absent.
- The assessment of governance arrangements and architecture is a novel aspect of this assessment, but does not yet reflect how effective the governance responses are in sustaining ecosystem health and human wellbeing. Subsequent assessments should focus on measuring effectiveness.
- Spatial data coverage for transboundary aquifers and lakes should be improved. Across all five transboundary water categories, there is a serious need for long-term time-series data, including those that quantify and track rates and magnitudes of linkages between water systems, for transboundary management to become effective.





The Global Environment Facility (GEF) approved a Full Size Project (FSP), "A Transboundary Waters Assessment Programme (TWAP): Aquifers, Lake/Reservoir Basins, River Basins, Large Marine Ecosystems, and Open Ocean to catalyze sound environmental management", started in 2013, following the completion of the Medium Size Project (MSP) "Development of the Methodology and Arrangements for the GEF Transboundary Waters Assessment Programme" in 2011. The TWAP FSP focuses on two major objectives: (1) to carry out the first global-scale assessment of transboundary water systems that will assist the GEF and other international organizations to improve the setting of priorities for funding; and (2) to formalise the partnership with key institutions to ensure that transboundary considerations are incorporated in regular assessment programmes to provide continuing insights on the status and trends of transboundary water systems.

The five water-category specific assessments covered 756 international water systems consisting of 199 transboundary aquifers and 42 non-transboundary aquifers in small island developing states, 204 transboundary lakes and reservoirs, 286 transboundary river basins, 66 large marine ecosystems (and the Western Pacific Warm Pool), and the open ocean. The assessed waters cover over 70% of the planet's oceans and landmass, and about 16% of the planet's landmass that is also underlain by transboundary aquifers.

The TWAP assessment is the first global assessment that uses quantified and directional indicators of system states, pressures and impacts under three broad themes: biophysical, socioeconomic, and governance. Results are summarized into five colour-coded relative levels of system risk for each directional indicator - lowest, low, moderate, high, and highest - which are amenable to water system and regional scale comparisons. As a first global comparative assessment of transboundary waters (those shared by two or more countries), TWAP provides quantified assessment results that can inform the setting of priorities for intervention by GEF and others as well as the development of strategies on how nations and regions can meet their Sustainable Development Goals (SDGs) and targets for the period 2015- 2030. TWAP is also poised to help identify core indicators to support national monitoring and reporting of targets required to realize the Sustainable Development Goals (SDGs) for the period 2015 – 2030. TWAP freshwater indicators map to SDG 6 on Clean Water and Sanitation, notably Target 6.6 (protection and restoration of mountains, forests, wetlands, rivers, aquifers and lakes). TWAP marine indicators support SDG 14 on Oceans, Seas and Marine Resources, and all its targets.

The TWAP FSP was implemented by UNEP as Implementing Agency, with UNEP's Division of Early Warning and Assessment (DEWA) as Executing Agency. The following agencies were the lead partner institutions which led the water category-specific assessments: the International Hydrological Programme (IHP) of the United Nations Educational, Scientific and Cultural Organization (UNESCO) for transboundary aquifers including groundwater systems in Small Island Developing States (SIDS); the International Lake Environment Committee Foundation (ILEC) for lake and reservoir basins; the UNEP-DHI Partnership – Centre on Water and Environment (UNEP-DHI) for river basins; and the Intergovernmental Oceanographic Commission (IOC) of UNESCO for Large Marine Ecosystems (LMEs) and the Open Ocean.



The analysis integrates the results of the five independent water category-specific assessments where system risk is underpinned by the interactions among water system health, human wellbeing and governance, within the constraints of a changing climate (Figure 1). System health is evaluated using selected directional biophysical indicators, which include metrics that quantify water quantity, water quality, and biodiversity for freshwater systems; and productivity, fish and fisheries, pollution and ecosystem health for large marine ecosystems. For the Open Ocean, measures of Cumulative Human Impacts are used to assess waters beyond national jurisdictions, as these indicate relative risk. Socioeconomic indicators cover dependent human populations including population sizes, incidence of poverty, human development levels, and threats imposed by climate-related natural disasters. Governance risks are evaluated using governance architecture, with a view to subsequently analysing governance performance using more detailed analytical approaches. All indicators are assessed with 2000-2010 as the reference period, and several include projection scenarios to 2030, 2050 and 2100. For this crosscutting analysis, only contemporary indicators are used.

Figure 1: The conceptual framework used in this analysis builds on the principle that ecosystem health sustains planetary and human well-being. Risks that weaken a system state, including those that exacerbate global warming, erode natural capital that is needed for long-term human development.





The results are geographically organized to allow for comparisons of risk by water system category and by theme in 14 regions of the world (Figure 2 and Table 1). The regional breakdown is a variant of the UN sub-continental regional subdivisions to enable optimal distribution of international waters and regional comparisons. To do so, the average risk was calculated in each region at two spatial scales (*Boxed Text – Computing regional averages*). At system scale, the average thematic values were obtained for all indicators that were assessed and grouped under each of the three themes – socioeconomics, governance and biophysical. The indicators that were used can be found in Table 2. The individual water systems are listed by water category for each region in Table 3. At regional scale, for each water category, the regional averages by theme are derived by weighting the water system averages by the ratio of a system's area (surface or basin area) to the regional total area. The final averages for each region by water category are likewise area-weighted. Regional thematic averages across water categories are simple numeric averages that weight water categories equally regardless of size. This report displays the average risk at the regional level to enable a global comparison across the five water categories and three themes. Risk is assessed at five levels, from highest to lowest and color coded throughout from red to blue. In TWAP, risk can be interpreted as follows: the higher the risk, the greater is the likelihood of failure to sustain the ecosystem services from transboundary systems that are essential for human well-being.

Sample region: Northern America	Socioeconomic (SE)	Governance (GO)	Biophyiscal (BP)	Average
Aquifers	RA _{AQUIFERS-SE}	RA _{AQUIFERS-GO}	RA _{AQUIFERS-BP}	A _{AQUIFERS}
Lakes	RA _{LAKES-SE}	RA _{LAKES-GO}	RA _{LAKES-BP}	A _{LAKES}
Rivers	RA _{RIVERS-SE}	RA _{RIVERS-GO}	RA _{RIVERS-BP}	A _{RIVERS}
LMEs	RA _{LMES-SE}	RA _{LMES-GO}	RA _{LMES-BP}	A _{LMEs}
Average _{THEME}	A _{se}	A _{GO}	A _{BP}	

Where:

System Average_{CATEGORY-THEME} = Average (Theme Indicator₁, Theme Indicator₂,...Theme Indicator_n)

Regional Average_{CATEGORY-THEME} = [(System Average_{CATEGORY-THEME1} X System Area₁) + (System Average_{CATEGORY-THEME2} X System Area₂) +... (System Average_{CATEGORY-THEMEn} X System Area_n)] / Regional Area

Average_{CATEGORY} = Area – weighted Average (RA_{se} , RA_{go} , RA_{BP})

Average_{THEME} = Simple Average (RA_{AQUIFERS-THEME}, RA_{LAKES-THEME}, RA_{RIVERS-THEME}, RA_{LMES-THEME})

Only water categories with data in a region are included in the calculation of averages. Thus, the individual system surface or basin areas weight the regional averages by water category. Regional thematic averages, in contrast, weight the water categories equally.



Table 1:	Spatial	coverage of	crosscutting analys	is and category	<pre>/ specific TWAP</pre>	assessment.
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Number of Transboundary Water Systems assessed in this study	Percentage Assessed of Known Transboundary Waters	Geographic coverage of this study and the water category-specific assessments
Aquifers: 108	89% of the global total transboundary aquifer surface area of 26 561 572 km ²	This analysis used the data set for aquifers that was derived from the WaterGap model. Groundwater systems in 42 Small Island States and which are not transboundary were also assessed and reported in the Aquifers Report but were excluded in this analysis along with smaller transboundary aquifers. Thus, the Aquifers Report has a wider spatial coverage at 96%.
Lake Basins & Reservoirs: 53	87% of the global total transboundary lake surface area of 874 171 km ²	Twenty-three catchment scale drivers, grouped into thematic areas of catchment disturbance, pollution, water resource management, and biotic factors, not in-lake conditions, were used to quantify threats to Incident Human Water Security and Incident Biodiversity Security. The average of these risk levels were used in calculating the Regional Score Cards with a focus on 53 large lakes and reservoirs. The Lake Report includes ancillary data for an additional 151 smaller lakes, mainly for comparison purposes.
River Basins: 286	100% of the global total transboundary river basin area of 62 000 000 km ²	The total global surface area of rivers and associated streams, transboundary or not, is estimated to be 662 000 km ² using stream network analysis and awaits empirical validation. Thus the basin area is used to determine percent area covered by assessment. Both crosscutting analysis and River Basin assessment covered the same total basin area.
Large Marine Ecosystems: 66	100% of the global total LME surface area of 113 000 000 km ²	All LMEs were analyzed for the biophysical and socioeconomic indicators, while only transboundary LMEs were analyzed for governance risk. The Western Pacific Warm Pool, though not an LME, was included in the analysis of LMEs in Oceania, Micronesia and Central Pacific Region.
Open Ocean: 1	100% of the global total Open Ocean of 232 000 000 km ²	The Cumulative Human Impact Index for Open Ocean was used in this analysis. The Open Ocean Assessment examined many more important contextual indicators, although some of these could not yet be appropriately scaled down to their influence on local risks.

Table 2. Indicators used in this analysis (for details readers are referred to the water category-specific reports available at www.geftwap.org).

Water category	Biophysical	Governance	Socioeconomic
AQUIFERS	 Mean annual groundwater recharge depth including artificial recharge from irrigation Mean annual groundwater recharge depth excluding artificial recharge from irrigation Groundwater depletion Groundwater development stress 	 Presence of governance instrument addressing groundwater and/or freshwater system above it 	 Annual amount of renewable groundwater resources per capita Human dependency on groundwater Population density
LAKES	 Incident Human water security Incident Biodiversity security 	 Presence of national or international governance instruments specifically addressing lake basins and reservoirs 	Human Development Index
RIVERS	 Environmental water stress Human water stress Agricultural water stress Nutrient pollution Waste water pollution Ecosystem impacts from dams Threat to fish Extinction risk 	 Legal framework Hydropolitical tension Enabling environment 	 Economic dependency on water resources Societal wellbeing Exposure to floods and droughts
LMEs	 SST change Ecological footprint Change in Marine Trophic Index % Catch bottom impacting gear Fishing effort change Change in catch potential 2050 under warming Microplastic numbers/km2 Macroplastic density gm/km2 POPs-PCBs POPs-DDTs POPs-HCHs DIN risk score ICEP risk score Merged nutrient risk indicator Reefs at risk Index Change MPA coverage 	 Completeness Integration Engagement 	 Size of coastal population Number of coastal poor using national poverty lines and scaled to 100 km coast HDI for the period 2009-2013 Present day Climate Threat Index
Open Ocean	Cumulative Human Impact Index		



Risk in transboundary water systems is displayed globally in Figure 3 which shows average risk by water system category and by theme for the 14 TWAP regions of the world as well as by FAO Fishing Area for the Open Ocean. Risk was assessed thematically using biophysical, socioeconomic and governance indicators for each water system, and averaged by water system category, for aquifers, lake basins, river basins, and lmes; and by theme in each region. The open ocean risks assessed using cumulative human impacts and scaled to FAO fishing areas, provide another layer of risk.

Average thematic risk is generally lower among developed regions of Northern America and Europe, and higher in Sub-Saharan Africa, and in Southern and Southeastern Asia. The low to middle levels of human development based on the Human Development Index, account for the high average socioeconomic risks found in these areas. The absence of aquifer legal instruments to govern their use results in average governance risk of moderate level in 9 of 14 regions. Average biophysical risk tends to be moderate in 10 regions, and low in Southern America, Western and Middle Africa, Eastern and Southern Africa, and in the Oceania-Micronesia-Central Pacific region. *Overall thematic trends show that Southeastern Asia is at high socioeconomic and high governance risk; the Oceania-Micronesia-Central Pacific region is at low socioeconomic and low biophysical risk.*

Among water categories, biophysical risks among transboundary aquifers are predominantly low in both developed and developing regions, indicating their status as a relatively untapped water resource and a critical buffered water storage in light of a warming climate. Transboundary lakes, assessed using 23 catchment drivers and not in-lake conditions, indicate lake catchments in Central America & Caribbean to be most at risk, both as a result of high socioeconomic and high biophysical risks. Transboundary lake catchments in Northern and Southern America, Northern Africa & Western Asia, Eastern Europe, Southern Asia and in Eastern & Central Asia, all are at low risk, averaged across themes. Transboundary rivers in Southern Asia are at high risk because of highest socioeconomic and high biophysical risks; those in Northern America and Northern-Western-Southern Europe region are at low risk because of low to moderate socioeconomic and low governance risk. LMEs are at high risk in Southern and Southeastern Asia because of highest socioeconomic and high biophysical risks; those in Eastern Europe are at low risk because of low socioeconomic and low governance risk. The Antarctic LME is at low risk on average although it is at moderate biophysical risk. *Examining the regional patterns across water categories, Southern Asia is most at risk with its river basins and Imes showing high average risks across risk themes. Northern and Southern America, Northern Africa & Western Asia, and Eastern Europe, all have two water categories at low risk, and another two at moderate risk.*

In the case of the open ocean, all regions except for the Arctic show moderate to high levels of cumulative impacts, with climate change stressors dominating the patterns. While mitigating climate change requires global cooperation, the resulting impacts to ecosystem health and services at local and regional scales demand fine-scale monitoring, assessment and mitigation.

Regions that exhibit contemporary moderate and above risk levels thematically or by water category must address risk levels among transboundary water systems in their socioeoconomic, governance and biophysical dimensions; mitigating the root causes and effects of these risks. Areas that currently show low risks must seek to maintain these risk levels in the face of the challenges of a warming climate.

There is a tendency for biophysical risk to propagate downstream from aquifers to LMEs, so that a source-to-sea perspective must be embedded in water management if it is to be integrative across all aquatic systems, noting that marine living resource exploitation and pollution from coastal and marine sources provide additional in-situ stressors for marine waters. The assessment of governance arrangements and architecture is a novel aspect, but does not measure effectiveness of performance. Data for aquifers and lakes are scant and long-term time series data for all transboundary water categories must be significantly augmented to ensure their proper management and sustained system health.

The frequency distribution of risk by theme, pooled across aquifers, rivers, lakes and LMEs in a region is shown in Figure 4. With the total number of water systems across four water categories being equal to 100%, the percentage of water systems falling into each of the five risk levels is shown by theme. Risk is variable across the three themes – biophysical, socioeconomic, and governance, indicating a serious need for interventions at policy and management levels to address biophysical, socioeconomic and governance sources of risk in an integrated and thus strategic manner.

The profiles for each of the 14 regions provide greater at-a-glance detail on socioeconomic conditions as well as the distribution of risk across water categories and themes for each region (Figs 4-17). Reading the two-page spread from left to right, each profile provides a location map and some key demographic information at the top of the left page. Contemporary risks by water category and theme are shown at the top right. The bottom left shows contemporary risk for each of the three themes with the percentage of systems (pooled across aquifers, lakes, rivers and LMEs) indicated for each risk level. The segmented bars show the percentage of systems in each risk level. Finally, at bottom right, the average risks by water category and theme are summarized for the region.



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Figure 3: Global Map of Risks





Figure 4: Frequencies



The frequency regions is show of water systen themes and sig	distributic vn here. F ns falling gnifies the	on of risk b or each th into each need for	by theme, neme, the of the five governan	pooled a total nun risk leve ce to add	cross tran nber of wa Is is indica Iress the in	sboundar ater syster ated by the ntegrated	ry aquifers ms across le number nature of	s, rivers, l s the four in each i risk in or	akes and water cate risk segme der to effe	Large Ma egories in ent. Risk i ctively su	rine Ecosystems (LMEs) in each of the 14 a region equals 100%, and the percentage n a region is variable across the three stain ecosystem health and human
wellbeing.	0 10) 20) 3	0 4	0 5	0 6	0 7	08	0 9	0 10	00%
Socioeconomic	3	16	2	2		34			25		
Governance	1 11			49			3		36		
Biophysical	8			55				;	36	1	
											Southern Asia
	16		20			38			26		
	8 <mark>1</mark>		50				11		30		
		26		15			43			16	
											Eastern and Central Asia
	9		31			4	42		10	8	
	13	10			44			9	24		
	8		32			27			33		
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	1	27			35		10		27		
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		33			17		28		22		
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				69					31		and Central Pacific
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Figure 5: Transboundary Waters





Figure 6: Transboundary Waters





Figure 7: Transboundary Waters









Figure 9: Transboundary Waters **TRANSBOUNDARY WATERS: EASTERN EUROPE** The region has an average Human Development Index of 0.863, belonging to the Very High HDI group with a total population of 449 million in 2015. Contemporary risks of water systems by water category and theme expressed as percentages are shown at top right. Pooling across 77 transboundary water systems in the region (bottom left), 44% of the water systems are at low socioeconomic risk, 36% at moderate governance risk, and 33% at moderate biophysical risks. On average (bottom right), the region's transboundary waters are at moderate socioeconomic, governance and biophysical risks. Aquifers, lakes, and LMEs are at moderate risk across risk themes, while river basins are threatened by low risk.





Figure 10: Transboundary Waters





Figure 11: Transboundary Waters **TRANSBOUNDARY WATERS: EASTERN & SOUTHERN AFRICA** The region belongs to the Low HDI Group with a regional HDI average of 0.534, and a population of 445 million in 2015. Contemporary risks of water systems by water category and theme expressed as percentages are shown at top right. Examining how 67 transboundary waters are distributed by risk level and by risk theme (bottom left), 60% are threatened by high to highest socioeconomic risk, 88% by moderate to highest governance risk, and 92% by low to high biophysical risk with 55% experiencing low risk. On average, this region is similar to Western & Middle Africa region. Its transboundary waters (bottom right) are at high socioeconomic risk, moderate governance risk and low biophysical risk. All transboundary water categories - aquifers, lakes, rivers and LMEs- are at moderate risk for all risk themes, on average. Population (2015, Millions) 200 100 300 400 444.60 (Total) 0.10 (Minimum) 97.00 (Maximum) Human Development Index (2014) 0.400 0.600 0.800 0.200 1.000 0.534 (Mean) 0.391 (Minimum) 0.777 (Maximum) Per Capita Income (2015, US\$) 3 000 6 000 9 000 12 000 15 000 2 913(Mean) 250 (Minimum) 14 100 (Maximum) **Contemporary Risks by Theme Risk levels** High Highest Lowest Low Moderate 0 10 20 30 40 50 60 70 80 90 100% Socioeconomic risk Т I. I. Т Т Т L Т 16 3 22 34 25 Governance risk 11 49 3 36 Biophysical risk E 36 8 55



Figure 12: Transboundary Waters **TRANSBOUNDARY WATERS: NORTHERN AFRICA & WESTERN ASIA** The region belongs to the High HDI Group with a regional HDI average of 0.734 and a population reaching 472 million in 2015. Contemporary risks of water systems by water category and theme expressed as percentages are shown at top right. Pooling across 51 transboundary water systems (bottom left), 63% suffer from moderate to highest socioeconomic risk; 73% from moderate to highest governance risk; and 72% from moderate to highest biophysical risk. On average, the region's transboundary waters (bottom right) are subject to moderate socioeconomic risk, low governance risk and moderate biophysical risk. Aquifers and Lakes are at low risk across risk themes, while river basins and LMEs are at moderate risk. Population (2015, Millions) 100 200 300 400 500 471.60 (Total) 1.20 (Minimum) 89.60 (Maximum) Human Development Index (2014) 0.400 0.600 0.800 1.000 0.200 0.734 (Mean) 0.479 (Minimum) 0.894 (Maximum) Per Capita Income (2015, US\$) 40 000 60 000 80 000 100,000 20 000 16 889 (Mean) 1 300 (Minimum) 92 200 (Maximum) **Contemporary Risks by Theme Risk levels** Highest Lowest Low Moderate High 100 % 10 20 30 40 50 60 70 80 90 0 Socioeconomic risk Т I Г П 30 29 19 15 Governance risk 25 50 3 4 18 Biophysical risk 26 37 18 17



Figure 13: Transboundary Waters **TRANSBOUNDARY WATERS: SOUTHERN ASIA** The region has an average Human Development Index of 0.618, belonging to the Medium HDI group with a total population of 1800 million in 2015. Contemporary risks of water systems by water category and theme expressed as percentages are shown at top right. Pooling across 30 transboundary water systems in the region (bottom left), 38% of the water systems are at high socioeconomic risk, 60% are subject to moderate governance risk, and 43% are at high biophysical risk. On average, the region's transboundary waters (bottom right) are subject to high socioeconomic, moderate governance and moderate biophysical risks. Transboundary river basins and LMEs are at high risk; aquifers are at moderate risk and lakes are at low risk, across all risk themes. Population (2015, Millions) 500 1 000 1 500 2 000 1 799.10 (Total) 0.40 (Minimum) 1295.30(Maximum) Human Development Index (2014) 0.400 0.200 0.600 0.800 1.000 0.618 (Mean) 0.465 (Minimum) 0.766 (Maximum) Per Capita Income (2015, US\$) 3 000 4 000 5 000 6 000 7 000 1 000 2 000 2 758 (Mean) 680 (Minimum) 7 120 (Maximum) **Contemporary Risks by Theme** Highest **Risk levels** Moderate High Lowest Low 40 60 80 0 10 20 30 50 70 90 Socioeconomic Т 1 Т Т Т Т П Т П risk



100 %



Figure 14: Transboundary Waters





Figure 15: Transboundary Waters **TRANSBOUNDARY WATERS: EASTERN & CENTRAL ASIA** The region has an average Human Development Index of 0.758, belonging to the High HDI group with a total population of 1645 million in 2015. Contemporary risks of water systems by water category and theme expressed as percentages are shown at top right. Pooling across 58 transboundary water systems (bottom left), 60% suffer from moderate to highest socioeconomic risk; 77% from moderate to highest governance risk; and 60% from moderate to high biophysical risk. On average, the region's transboundary waters (bottom right) are at moderate socioeconomic, governance and biophysical risks. LMEs are at high risk across risk themes; both aquifers and river basins are at moderate risk, and lakes are at low risk. Population (2015, Millions) 500 1 000 1 500 2 000 1 645.00 (Total) 0.60 (Minimum) 1 364.30 (Maximum) Human Development Index (2014) 0.400 1.000 0.200 0.600 0.800 0.758 (Mean) 0.624 (Minimum) 0.910 (Maximum) Per Capita Income (2015, US\$) 10 000 20 000 30 000 40 000 50 000 60 000 70 000 80,000 20 150 (Mean) 1 080 (Minimum) 76 270 (Maximum) **Contemporary Risks by Theme** Highest **Risk levels** Moderate High Lowest Low 10 20 30 40 50 60 70 80 90 100 % 0 Socioeconomic risk Т П Ļ I L 31 42 9 10 Governance risk 13 9 10 44 24 Biophysical risk 8 32 27 33







Figure 17: Transboundary Waters





Figure 18: Transboundary Waters

TRANSBOUNDARY WATERS: ANTARCTICA



period 2004-2013 are estimated to reach 2013 US\$ 1229 million. The Antarctic LME is subject to low governance risk and moderate biophysical risk.







TWAP has demonstrated the widespread incidence of the risk of unsustainability in transboundary aquifers, lakes, rivers, Large Marine Ecosystems and the open ocean across the planet. To reduce and reverse the causes of risk in order to meet Sustainable Development Goal (SDG) targets by or before 2030, countries will need to continue developing and monitoring key indicators in order to identify and mitigate the sources of risk. The continued development and monitoring of key indicators, such as those used by TWAP, will be an essential aspect of this process. Full details of the indicators used and the findings can be found in the five technical reports for the water system categories and their technical summaries for policy makers (www.geftwap.org).

In addition to indicators that are specific to the transboundary water categories, it will be important to address the impacts of linkages among systems as well as the associated sources of risk in order to reduce risk. The approach of five separate assessments precluded a proper evaluation of the implications of the linkages between water systems in different water categories. Yet, it is well known that there are many biophysical linkages such as the flow of water itself, pollution, movement of plants and animals and influences on climate (Fig 19). Seeking to understand and quantify these linkages must be a focus of the next global assessment.

Much has been learned in TWAP that can be applied to ensure an expanded suite of indicators that is focused on critical issues. As an example, the conjunctive use of surface and subsurface freshwater for food and energy production may require a nexus approach in which sustainable food and energy systems may need to be premised on the sustainability of surface aquatic systems (rivers and lakes) and subsurface aquifers, which is challenged by a warming climate. Among marine systems, the downstream and lateral flows of water, materials and pollutants together with direct point sources of pollution and exploitation of marine living resources are undermining the health of coastal ecosystems. In the open ocean as well as near the coast, climate impacts from intensifying processes of ocean warming, accelerating sea level rise, acidification, and deoxygenation are exerting profound influences on changes in primary and secondary production, biodiversity and carbon storage. Sea level rise will be an intensifying source of risk where cause and consequence span millennia. When anthropogenic influence alters the ability of the ocean to modulate climate and planetary survival is at risk, human behavior towards land-ocean-climate interactions will require more than risk minimization. It behooves a fundamental shift in policy time frame and targets where human actions today have immediate as well as millennial consequences.



Figure 19: Water flows and exchanges of materials including transported sediments, pollutants and species occur between source and destination transboundary water systems across water categories. These system-system interactions contribute to altering system states in terms of biogeochemistry, productivity and biodiversity. They also influence carbon storage and fluxes that impact climate change. Subsequent assessments should address these interactions.



Water quantity: Water itself moves between aquatic systems. Overextraction in a source system or blockage of flow to another system can lead to shortages that impact users in the recipient system.

Pollution: As water flows it carries with it contaminants, nutrients, sediment and solid waste.

Fishery resources: Many types of exploited resources move between lakes, rivers, coastal waters and Open Ocean either seasonally or during different life history stages and depend on the health of these systems for their productivity.

Biodiversity: Many species move between lakes, rivers, coastal waters and Open Ocean either seasonally or during different life history stages and depend on the health of these systems for their productivity.

Hydrological cycle (drought/flood): Circulation, temperature and evaporation in the Open Ocean are major drivers of the global hydrological system which determines when, where and how much water is returned to terrestrial freshwater systems.

Sea level rise on deltas: Sea level rise can inundate low lying coastal freshwater systems, especially deltas and render them unsuitable for many human

Table 3. The assessed transboundary water systems by category and by region (number). Adding the number of systems for a category across regions will yield global total numbers that include multi-regional systems. Systems marked (*) were not included in the statistical analysis.

NORTHERN AMERICA (53)							
AQUIFERS (7)	LAKES (8)	RIVERS (19)	LMES (19)				
 Cuenca Baja del Rio Bravo-Grande Cuenca Baja del Rio Colorado* Edwards-Trinity-El Burro Poplar Judith River Milk River Northern Great Plains 	 Amistad Champlain Erie Falcon Huron Michigan Ontario Superior* 	 Alsek Colorado Chilkat Columbia Fraser Firth Mississippi Nelson-Saskatchewan Rio Grande (North America) Skagit St. Croix St. John (North America) St. Lawrence Stikine Taku Tijuana Whiting Yaqui Yukon 	 East Bering Sea Gulf of Alaska California Current Gulf of California Gulf of Mexico Southeast U.S. Continental Shelf Northeast U.S. Continental Shelf Scotian Shelf Labrador - Newfoundland Pacific Central-American Coastal Caribbean Sea Canadian Eastern Arctic - West Greenland Greenland Sea Northern Bering - Chukchi Seas Beaufort Sea Hudson Bay Complex Central Arctic Aleutian Islands Canadian High Arctic - North Greenland 				
	CENTRAL A	MERICA & CARIBBEAN (44)					
AQUIFERS (8)	LAKES (1)	RIVERS (32)	LMES (3)				
 Cuenca Baja del Rio Bravo- Grande Cuenca Baja del Rio Colorado* Edwards-Trinity-El Burro Boca del Cerro-San Pedro Ocosingo-Usumacinta-Pocóm- Ixcán Soconusco-Suchiate/Coatán* Esquipulas-Ocotepeque-Citalá* Peninsula de Yucatán- Candelaria-Hondo 	Azuei	 Artibonite Belize Candelaria Chamelecon Changuinola Chiriqui Choluteca Coatan Achute Coco/Segovia Colorado Conventillos Corredores/Colorado El Naranjo Goascoran Grijalva Hondo Jurado Lempa Massacre Moho Motaqua Negro Paz Pedernales Rio Grande (North America) San Juan Sarstun Sixaola Suchiate Temash Tijuana Yaqui 	 Gulf of Mexico Pacific Central-American Coastal Caribbean Sea 				

	SOUT	THERN AMERICA (64)	
AQUIFERS (14)	LAKES (5)	RIVERS (38)	LMES (7)
 Agua Dulce Amazonas Aquidauana-Aquidabán Boa Vista-Serra do Tucano- North Savanna Costeiro Grupo Roraima Litoráneo-Chuy Merged: Litoral-Cretácico Serra Geral Sistema Acuífero Guaraní Bauru-Caiua-Acaray Aquifer Salto-Salto Chico Merged: Coesewijne A-Sand/B-Sand Pantanal Permo-Carbonifero Titicaca Yrendá-Toba-Tarijeño Zanderij 	 Chungarkkota Itaipu Salto Grande Titicaca Lago de Yacyreta 	 Amacuro Amazon Aviles Aysen Baker Barima Cancoso/Lauca Carmen Silva/Chico Catatumbo Chira Chuy Comau Corantijn/Courantyne Cullen Essequibo Gallegos/Chico Jurado La Plata Lagoon Mirim Lake Fagnano Lake Titicaca-Poopo System Maroni Mataje Mira Oiapoque/Oyupock Orinoco Palena Pascua Patia Puelo Rio Grande (South America) San Martin Seno Union/Serrano Tumbes Valdivia Yelcho Zapaleri Zarumilla 	 Pacific Central-American Coastal Caribbean Sea Humboldt Current Patagonian Shelf South Brazil Shelf East Brazil Shelf North Brazil Shelf

NORTHERN, WESTERN & SOUTHERN EUROPE (77)						
AQUIFERS (4)	LAKES (6)	RIVERS (58)	LMES (9)			
 Belgian - Dutch - German Lowland Aquifer System Ordivician - Cambrian Groundwater Body Cambrian -Vendian - Voronka Groundwater Body / Lomonosovsky Aquifer Upper Pannonian Thermal Aquifer 	 Macro Prespa (Large Prespa) Lake Maggiore Neusiedler/ Ferto Ohrid Scutari/Skadar Szczecin Lagoon 	 Bann Barta Bidasoa Castletown Danube Daugava Douro/Duero Drin Ebro Elbe Erne Fane Flurry Foyle Garonne Gauja Glama Guadiana Isonzo Jacobs Kemi Klaralven Krka Lake Prespa Lava/Pregel Lielupe Lima Lough Melvin Maritsa Mino Naatamo Narva Neretva Neretva Nestos Oder/Odra Olanga Oulu Parnu Pasvik Po Rhine Rhone Salaca Seine Schelde Struma Tagus/Tejo Tana Torne/Tornealven Tuloma Vardar Venta Vijose Vuoksa Viedau Yser 	 Barents Sea Norwegian Sea North Sea Baltic Sea Celtic-Biscay Shelf Iberian Coastal Mediterranean Sea Iceland Shelf and Sea Faroe Plateau 			

	EAS	STERN EUROPE (70)	
AQUIFERS (11)	LAKES (4)	RIVERS (43)	LMES (12)
 Delger River Irtysh-Obsky Merged: Ordivician - Cambrian Groundwater Body Cambrian -Vendian - Voronka groundwater body / Lomonosovsky aquifer Middle Heilongjiang - Amur River Basin Pre-Caspien Shishhid River Aquifer South-Pred-Ural Aquifer Syrt Upper Pannonian Thermal Aquifer Yenisei Upstream Zeya River Basin 	 Cahul Caspian Sea Neusiedler/ Ferto Szczecin Lagoon 	 Amur Danube Daugava Dnieper Dniester Don Elancik Elbe Har Us Nur Jacobs Jenisej/Yenisey Kemi Kogilnik Kura-Araks Lake Ubsa-Nur Lava/Pregel Maritsa Mius Narva Neman Nestos Ob Oder/Odra Olanga Oral/Ural Oulu Pasvik Prohladnaja Psou Rezvaya Samur Sarata Struma Sujfun Sulak Terek Tuloma Tumen Vardar Volga Vuoksa 	 East Bering Sea Barents Sea Sea of Japan Sea of Okhotsk West Bering Sea Northern Bering - Chukchi Seas Beaufort Sea East Siberian Sea Laptev Sea Kara Sea Black Sea Central Arctic

WESTERN & MIDDLE AFRICA (74)						
AQUIFERS (25)	LAKES (8)	RIVERS (38)	LMES (3)			
 Aquifer extension Sud-Est de Taoudeni Aquifer Vallee de la Benoue Aquifere du Rift Baggara Basin Cestos-Danané* Coango Cuvelai and Ethosa Basin / Ohangwena Aquifer System Cuvette Irhazer-Illuemeden Basin Karoo-Carbonate Keta / Dahomey / Cotier basin aquifer Lake Chad Basin Nata Karoo Sub-basin / Caprivi deep-seated Aquifer Nubian Sandstone Aquifer System (NSAS) Rio del Rey* Senegalo-Mauretanian Basin Tanganyika Aquifer Taoudéni Basin Volta Basin AF33 AF34* AF40* AF82* 	 Aby Albert Chad Lake Congo River Kivu Mweru Selingue Tanganyika 	 Akpa Atui Benito/Ntem Bia Cavally Cestos Chiloango Congo/Zaire Corubal Cross Cuvelai/Etosha Gambia Geba Great Scarcies Komoe Kunene Lake Chad Little Scarcies Loffa Mana-Morro Mbe Moa Mono Niger Nile Nyanga Ogooue Okavango Oueme Sanaga Sassandra Senegal St. John (Africa) St. Paul Tano Utamboni Volta Zambezi 	 Canary Current Guinea Current Benguela Current 			

EASTERN & SOUTHERN AFRICA (72)						
AQUIFERS (25)	LAKES (18)	RIVERS (25)	LMES (4)			
 Afar Rift valley / Afar Triangle Aquifer Aquifere du Rift Baggara Basin Coastal Sedimentary Basin I* Coastal Sedimentary Basin III Cuvelai and Ethosa Basin / Ohangwena Aquifer System Dawa Eastern Kalahari Karoo Basin Gedaref Jubba Kagera* Karoo Sandstone Aquifer Karoo Sedimentary Aquifer Karoo-Carbonate Khakhea/Bray Dolomite Kilimanjaro* Mereb Merged: Sand and Gravel Aquifer Vata Karoo Sub-basin / Caprivi Aquifer Rhyolite-Breccia* SE Kalahari Karoo Basin / Stampriet Artesian Aquifer System 	 Abbe/Abhe Albert Cahora Bassa Chilwa Chiuta Cohoha Edward Ihema Josini/ Pongolapoort Dam Kariba Kivu Malawi/Nyasa Mweru Natron/Magad Rweru/Moero Tanganyika Turkana Victoria 	 Awash Baraka Buzi Congo/Zaire Cuvelai/Etosha Gash Incomati Juba-Shibeli Kunene Lake Natron Lake Turkana Limpopo Lotagipi Swamp Maputo Nile Okavango Orange Pangani Pungwe Ruvuma Sabi Thukela Umba Umbeluzi Zambezi 	 Benguela Current Agulhas Current Somali Coastal Current Red Sea 			

SOUTHERN ASIA (29)			
AQUIFERS (3)	LAKES (5)	RIVERS (20)	LMES (1)
 East Ganges River Plain Aquifer Indus River Plain Aquifer South of Outer Himalayas Aquifer 	 Aras Su Qovsaginin Su Anbari Caspian Sea Lake Darbandikhan Mangla Sistan 	 Aral Sea Astara Chay Atrak BahuKalat/ Rudkhanehye Dasht Fenney Ganges-Brahmaputra- Meghna Hamun-i-Mashkel/ Rakshan Hari/Harirud Helmand Indus Irrawaddy Kaladan Karnaphuli Kowl E Namaksar Kura-Araks Muhuri (aka Little Feni) Murgab Tarim Tigris-Euphrates/Shatt al Arab 	 Arabian Sea Bay of Bengal
	SOUT	HEASTERN ASIA (42)	
AQUIFERS (10)	LAKES (NA)	RIVERS (27)	LMES (5)
 Cambodia Mekong River Delta Aquifer Downstream of Lancang River Hong River Basin Karst Aquifer of Upper Zuojiang Valley* Khorat Plateau Aquifer Limbang Aquifer* Lower Mekong River 1 Aquifer Lower Mekong River 2 Aquifer Nu River Valley Aquifer* Salween River Aquifer 		 Bangau Bei Jiang/Hsi Beilun Ca/Song-Koi Digul Fly Ganges- Brahmaputra-Meghna Golok Irrawaddy Jayapura Kaladan Karnaphuli Loes Ma Maro Mekong Pakchan Red/Song Hong Saigon Salween Sebuku Sembakung Sepik Song Vam Co Dong Tami Tjeroaka-Wanggoe Vanimo-Green 	 Bay of Bengal Gulf of Thailand South China Sea Sulu-Celebes Sea Indonesian Sea

EASTERN & CENTRAL ASIA (61)			
AQUIFERS (23)	LAKES (4)	RIVERS (28)	LMES (6)
 Amu-Darya Birata-Urgench Buir Nuur-Khalkh river Aquifer Dankhan Khudgiin Sair aquifer Delger River Downstream of Lancang River Ertix River Hong River Basin Ili River Irtysh-Obsky Karst Aquifer of Upper Zuojiang Valley* Middle Heilongjiang - Amur River Basin Nu River Valley* Pre-Caspien Shishhid River Aquifer South-Pred-Ural Aquifer Syrt Tacheng Basin / Alakol Yalu River Valley* Yenisei Upstream Zeya River Basin 	 Aral Caspian Sea Sarygamysh Shardara/Kara-Kul 	 Amu-Darya Aral Sea Atrak Bei Jiang/Hsi Beilun Ganges-Brahmaputra-Meghna Han Har Us Nur Hari/Harirud Ili/Kunes He Indus Irrawaddy Jenisej/Yenisey Lake Ubsa-Nur Mekong Murgab Ob Oral/Ural Pu Lun T'O Red/Song Hong Salween Shu/Chu Sujfun Talas Tarim Tumen Volga Vala 	 South China Sea East China Sea Yellow Sea Kuroshio Current Sea of Japan Oyashio Current

OCEANIA, MICRONESIA & CENTRAL PACIFIC (9 + WPWP)			
AQUIFERS (NA)	LAKES (NA)	RIVERS (8)	LMES (1 + WPWP)
		 Digul Fly Jayapura Maro Sepik Tami Tjeroaka-Wanggoe Vanimo-Green 	 Insular Pacific-Hawaiian Western Pacific Warm Pool (WPWP) (not an LME)

AUSTRALIA & NEW ZEALAND (8)			
AQUIFERS (0)	LAKES (0)	RIVERS (0)	LMES (8)
			 North Australian Shelf Northeast Australian Shelf East Central Australian Shelf Southeast Australian Shelf Southwest Australian Shelf West Central Australian Shelf Northwest Australian Shelf New Zealand Shelf

ANTARCTICA (1)			
AQUIFERS(0)	LAKES (0)	RIVERS (0)	LMES (1)
			Antarctic LME





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United Nations Educational, Scientific and Cultural Organization

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The water systems of the world – aquifers, lakes, rivers, large marine ecosystems, and open ocean- sustain the biosphere and underpin the socioeconomic wellbeing of the world's population. Many of these systems are shared by two or more nations. These transboundary waters, stretching over 71% of the planet's surface, in addition to the subsurface aquifers, comprise humanity's water heritage.

Recognizing the value of transboundary water systems and the reality that many of them continue to be degraded and managed in fragmented ways, the Global Environment Facility Transboundary Waters Assessment Programme (GEF TWAP) was developed. The Programme aims to provide a baseline assessment to identify and evaluate changes in these water systems caused by human activities and natural processes, and the consequences these may have on dependent human populations. The institutional partnerships forged in this assessment are envisioned to seed future transboundary assessments as well.

The final results of the GEF TWAP are presented in the following six volumes:

- Volume 1 Transboundary Aquifers and Groundwater Systems of Small Island Developing States: Status and Trends
- Volume 2 Transboundary Lakes and Reservoirs: Status and Trends
- Volume 3 Transboundary River Basins: Status and Trends
- Volume 4 Large Marine Ecosystems: Status and Trends
- Volume 5 The Open Ocean: Status and Trends
- Volume 6 Transboundary Water Systems: Crosscutting Status and Trends

A Summary for Policy Makers accompanies each volume.

This document – Volume 6 Transboundary Water Systems: Crosscutting Status and Trends (A Summary for Policy Makers) – highlights a first global analysis to examine the present-day thematic dimensions of risk among 756 international water systems across five water categories in 14 regions of the world. It hopes to encourage subsequent assessments to quantify and monitor interactions between systems, and make these system-system linkages as salient bases for effective transboundary water management in a warming climate.

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